

MedOAnet (Mediterranean Open Access Network)

National Workshop on Open Access Policies, Athens, 6 December 2012

# Enabling Access to Research: Essential Aspects in Effective Policy Design for Research Funding and Research Performing Institutions

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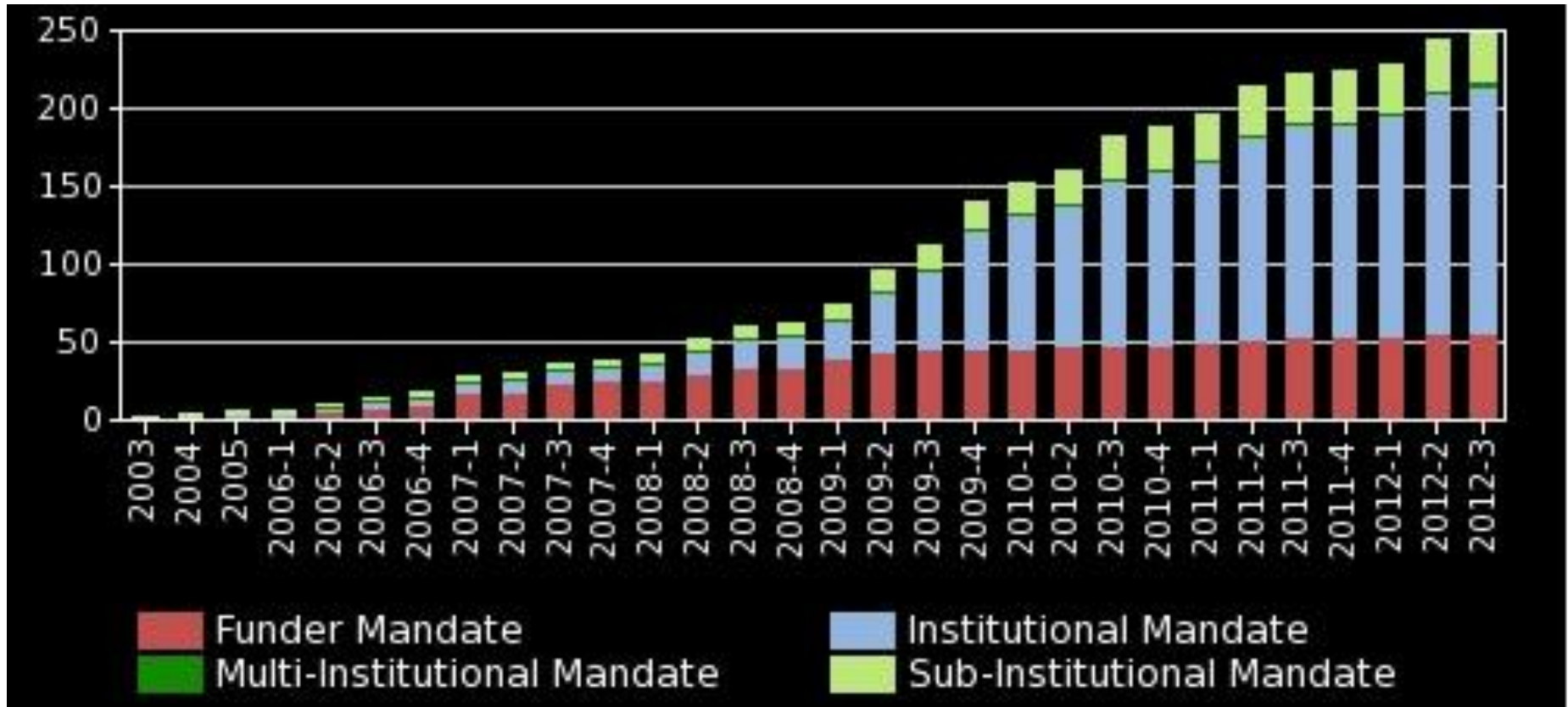
# Routes to Open Access

- Green: using repositories (institutional or subject-based)
- Gold: using Open Access journals (can incur a charge, and charges can be high)
- Mandatory policies are normally about GREEN Open Access so as not to interfere with the academic freedom of authors to publish where they choose



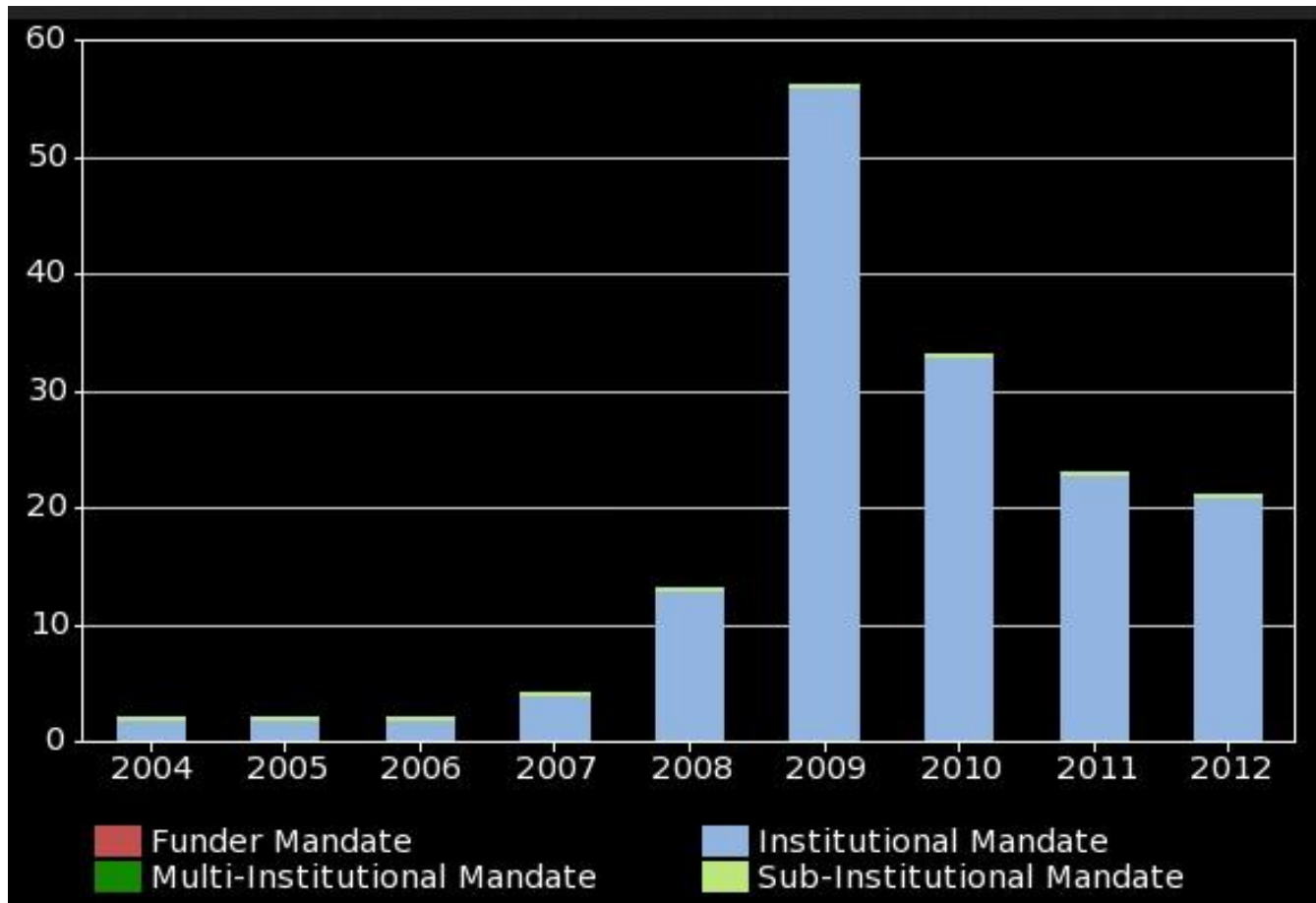
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# Open Access mandatory policies



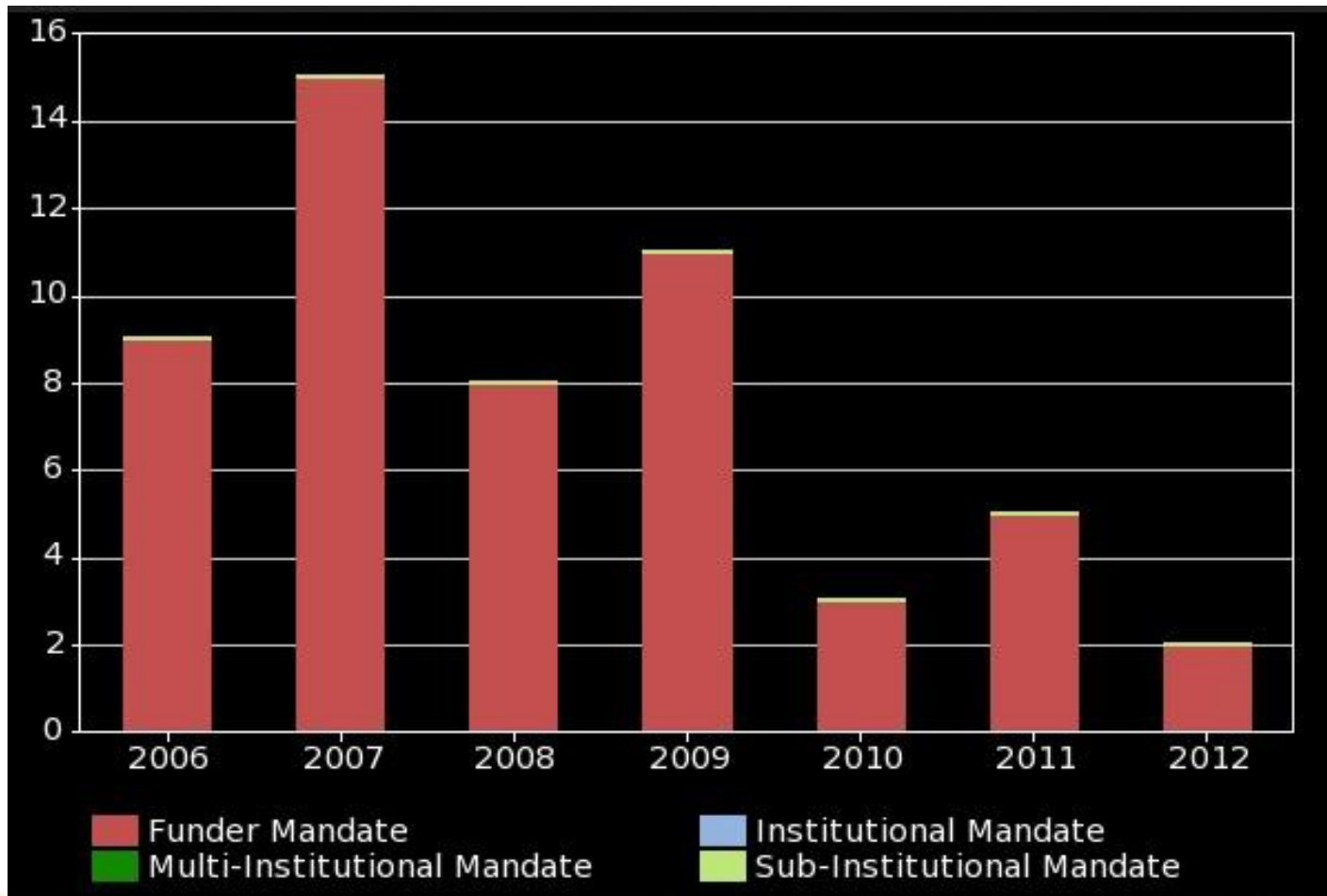
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# Institutional mandates



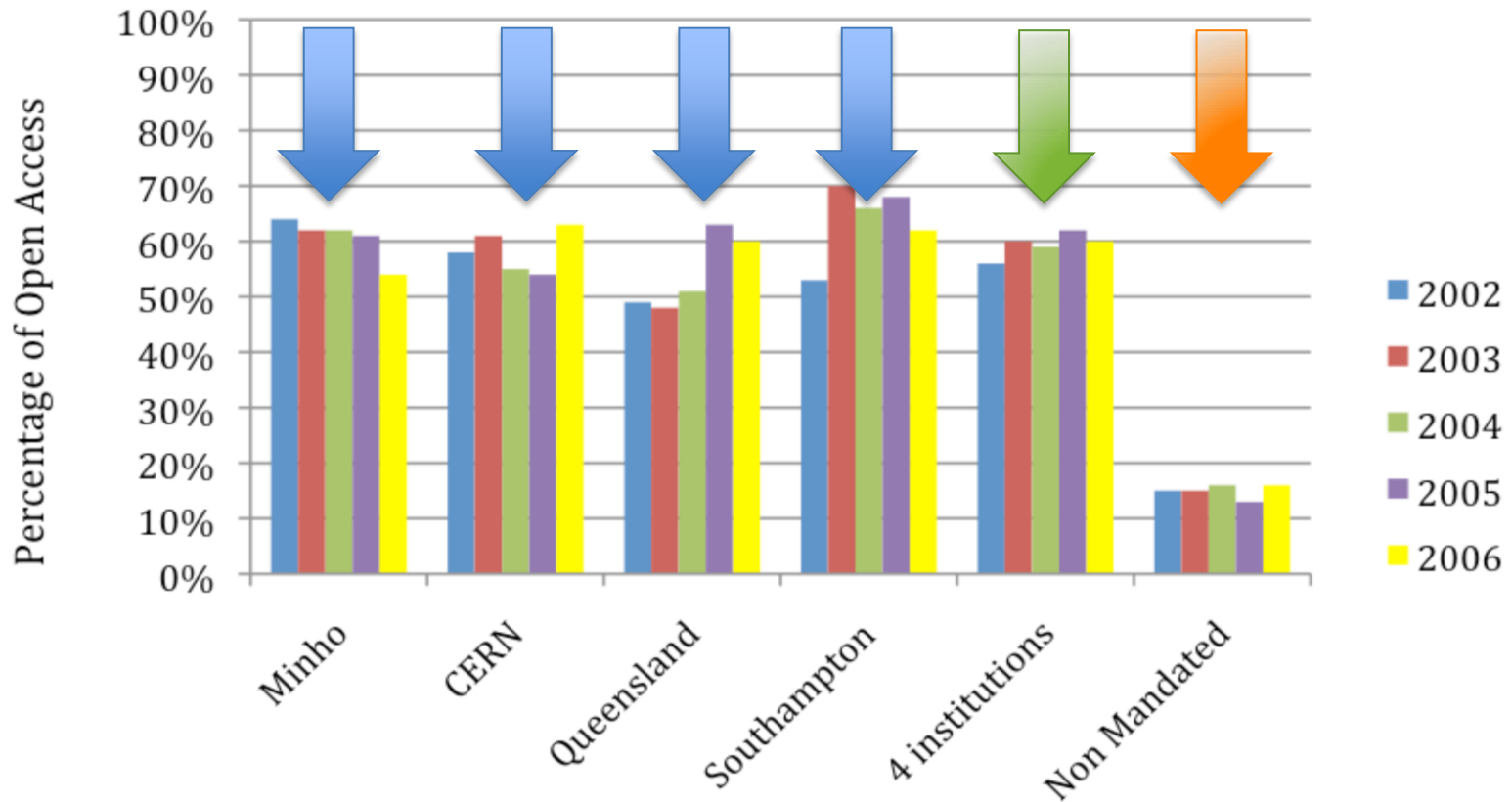
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# Funder mandates



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# The effect of a mandatory policy



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# H2020 and Open Access

- Mandatory [non-policed mandate on 20% FP7 research]
- ‘Green’ OA mandate:
  - Authors deposit into local repositories within 6 (or 12) months
  - ‘Harvested’ by OpenAIRE (Commission-funded European repository)
  - Shop window for European research
- Permits payments from grants for ‘Gold’ OA



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# New OA policies in Europe, 2012

## Institutional mandates:

- Portugal: 3
- Spain: 1
- UK: 1
- Belgium: 1

## Funder mandates:

- EU: European Research Council (updated guidelines 2012)
- Denmark
- Ireland
- UK: RCUK: revised policy
- EU: European Commission: Horizon 2020



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# Policy alignment

- Irons out dissonances for researchers working in interdisciplinary areas or on international teams
- Supports EU harmonisation agenda (research conditions, researcher mobility, etc)
- Key issue in changing author practices and norms
- Allows generic infrastructural services to be established in support of policy
- Alignment, bar detail, across all national policies (and H2020) so far ....
- Except UK



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# Is RCUK's open-access cash a 'reckless' road to ruin?

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15 November 2012

By [Paul Jump](#)

**Senior scientists critical of allocation rules and assumptions behind £100m grant for 'gold' fees, writes Paul Jump**

Research Councils UK's announcement of how it will allocate more than £100 million in block grants for open-access publishing has met with a lukewarm reception.

# Finch access plan unlikely to fly across the Atlantic

 Like 0

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6 December 2012

By [Paul Jump](#)

**Report draws US notice but experts say journals will not 'play RCUK game'. Paul Jump reports**

Felice Levine, executive director of learned society the American Educational Research Association, told the Academy of Social Science's Implementing Finch conference last week that the Finch report on open access had been "noticed" in the US.

However, she said she did not expect its strong preference for author-pays gold open access over self-archiving green open access - which has been endorsed by the UK government and the research councils - to be echoed in US policy.



# UNESCO policy guidelines (2012)



## Policy Guidelines FOR THE DEVELOPMENT AND PROMOTION OF **OPEN ACCESS**



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# Policy development

- Rights: who holds the rights?
  - Publisher
  - Author
  - Institution
- Waiver?
- Embargo period
- Compliance mechanisms



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# A successful funder policy - NIH

- Born as a voluntary policy in 2005
- Changed to mandatory by decree of the US Government, 2007
- Gathering about 75% of target content
- October 2012: strengthening the policing of the policy (to increase compliance)
- Will hold continuation awards until compliance is effected



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# A successful institutional policy: Université de Liège

- 🌐 You must deposit your articles in ORBi
- 🌐 Immediately upon acceptance for publication
- 🌐 Your own final version of the manuscript
- 🌐 If publisher allows, it should be fully open from time of deposit
- 🌐 If publisher demands an embargo, comply
- 🌐 The metadata are open from deposit
- 🌐 The 'eprint request' button delivers the full-text



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# A well-filled repository

The screenshot shows the ORBi website interface. At the top, there is a navigation bar with the ORBi logo (a globe with the letters 'ORBi' in blue and green), the text 'Open Repository and Bibliography', and links for 'University of Liège | Library Network | Login | Français'. A banner for 'Open Access Week 2012' (22-28 October | EVERYWHERE) is also present. Below the navigation bar, there are links for 'Home', 'Other OA projects at the ULg', and 'Help?'. A breadcrumb trail indicates 'You are here: → ORBi → Home'. The main content area is divided into three columns. The left column contains a search bar with the text 'Search ORBi:', an 'OK' button, and sections for 'Advanced search' (MyORBi) and 'Browse ORBi by' (Author, Title, Issue year, Journal title, Document type, Discipline). Below this is the 'ORBi project' section (Background, Content, Benefits and challenges, Legal aspects, Functions and services, Team) and 'The Open Access movement' (Overview, Open Access at the ULg, Webbibliography). The middle column is titled 'ORBi and Open Access News' and features two news items. The first is 'BOAI 10 - Setting the default to open access' dated 01/10/2012, with a small BOAI 10 logo. The second is 'ORBi has just passed the benchmark of 50,000 references with full text' dated 16/07/2012, with a small image of a red ribbon and the number 50000. The right column is titled 'Recently added' and lists several research papers, including 'Weynants V., Godfroid J., Limbourg B.,... - Specific bovine brucellosis diagnosis based on in vitro antigen-specific gamma interferon production' and 'Weynants V., Tibor A., Denoel P. A.,... - Infection of cattle with Yersinia enterocolitica O:9 a cause of the false positive serological reactions in bovine brucellosis diagnostic tests'. A blue oval highlights the 'ORBi has just passed the benchmark of 50,000 references with full text' news item, and another blue oval highlights the 'Recently added' list.

University of Liège | Library Network | Login | Français

Open Access Week 2012  
22-28 October | EVERYWHERE

Home Other OA projects at the ULg Help?

You are here: → ORBi → Home

**Search ORBi:**  
OK

**Advanced search**

- MyORBi

**Browse ORBi by**

- Author
- Title
- Issue year
- Journal title
- Document type
- Discipline

**ORBi project**

- Background
- Content
- Benefits and challenges
- Legal aspects
- Functions and services
- Team

**The Open Access movement**

- Overview
- Open Access at the ULg
- Webbibliography

ORBi is a project of

## ORBi and Open Access News

All news

**BOAI 10 - Setting the default to open access**  
01/10/2012

The text 'Ten years on from the Budapest Open Access Initiative : setting the default to open' has just been published, at the end of this September 2012. In this declaration, some thirty experts reaffirm the principles and the objectives of the 2002 Budapest Initiative, and once again commit themselves to keep pushing forward in drawing up a series of recommendations. They focus on the political aspects, the licenses and their reuse, infrastructure and long term development as well as promotion and co-ordination.

A new objective has moreover been established: *'In ten years, open access will be the default solution for the dissemination of new research, in every field, and in every country.'*

**More info**

**ORBi has just passed the benchmark of 50,000 references with full text**  
16/07/2012

ORBi has just passed the benchmark of **50,000** references with full text, which represents close to **61%** of the deposited references.

A great success which rewards the audacious gamble launched 5 years ago by the University of Liege, supported by a strong **institutional mandate**. It is also a fine victory for the accessibility of research documentation, as close to **50%** of these references are in open access.

According to the April 2012 **Webometrics** ranking, ORBi is now in 26th position worldwide amongst the institutional repositories as far as fulltexts are concerned (33rd in 2011) and, more generally, in 18th place amongst the European repositories (27th in 2011) and 39th out of 1435 on a world level (46th in 2011).

**More info**

## OPEN ACCESS WEEK

TEUT CELA EST POUR VOUS!

### Recently added

- Weynants V., Godfroid J., Limbourg B.,... - Specific bovine brucellosis diagnosis based on in vitro antigen-specific gamma interferon production.
- Weynants V., Tibor A., Denoel P. A.,... - Infection of cattle with Yersinia enterocolitica O:9 a cause of the false positive serological reactions in bovine brucellosis diagnostic tests.
- Saegerman Claude, Vo T. K., De Waele L.,... - Diagnosis of bovine brucellosis by skin test: conditions for the test and evaluation.



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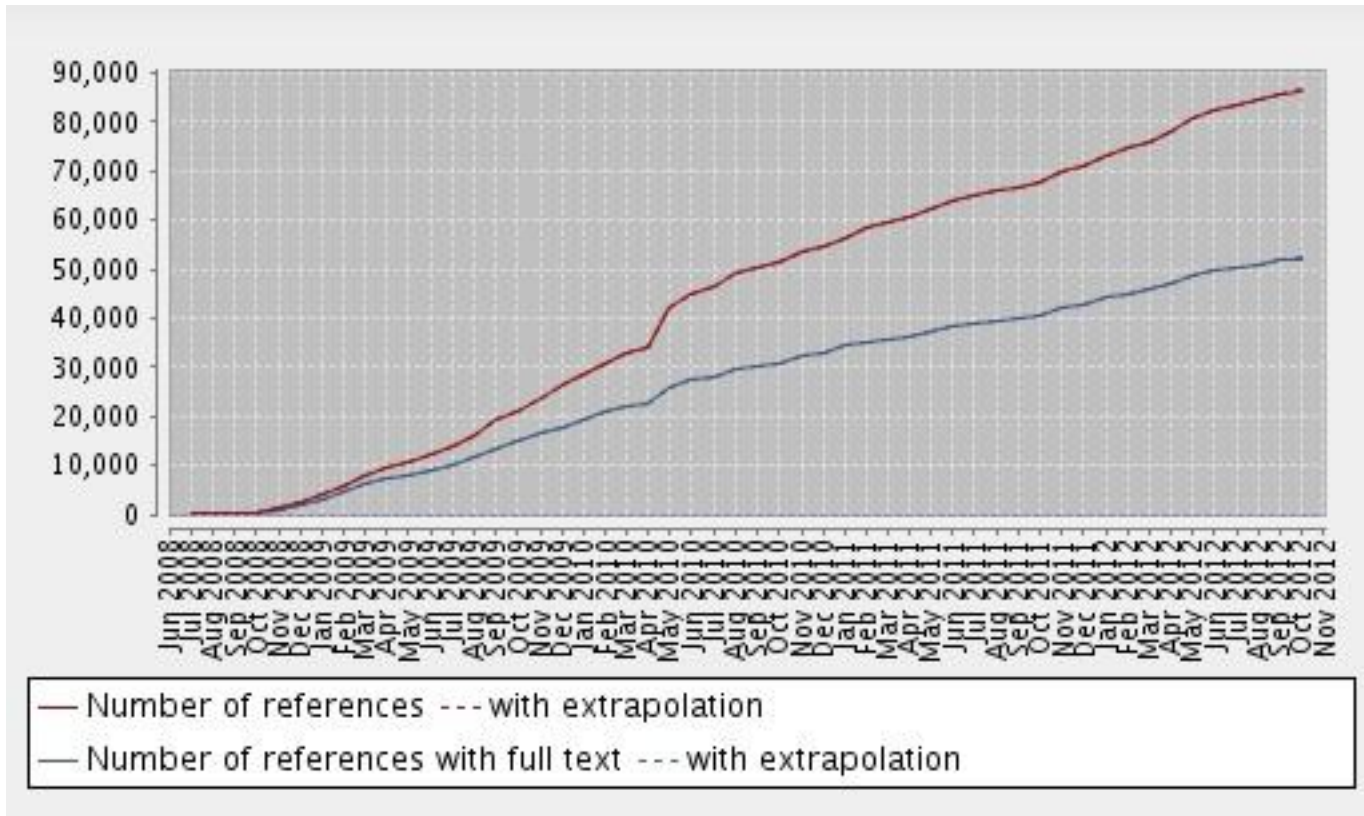
# Universite de Liege OA policy

- You must deposit your articles in ORBi
- Immediately upon acceptance for publication
- Your own final version of the manuscript
- If publisher allows, it should be fully open from time of deposit
- If publisher demands an embargo, comply
- The metadata are open from deposit
- The 'eprint request' button delivers the full-text
- You must deposit your articles in ORBi [if not ...]



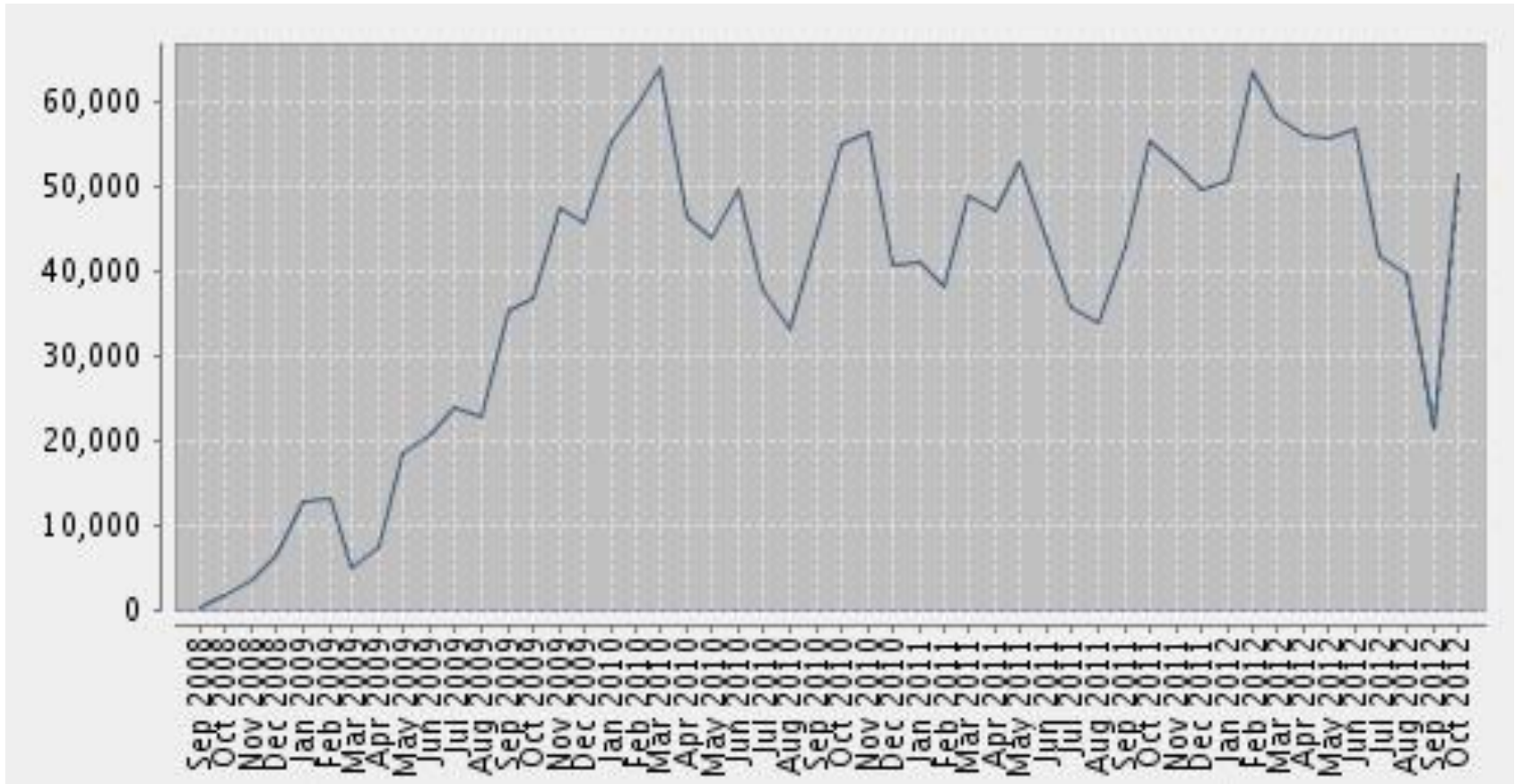
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# People deposit



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# And it gets used



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# University of Edinburgh Strategic Plan 2008-12

“The mission of our University is the creation, dissemination and curation of knowledge.”



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“The mission of our University is the creation, **dissemination** and curation of knowledge.”



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Ltd*

Thank you for listening

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[www.openscholarship.org](http://www.openscholarship.org)

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